

UBS (Switzerland)

LOANS

In April 2007, **Textron** amended its US\$1.25 billion five-year revolving credit facility (concluded in March 2005) for the second time, extending the maturity date to April 2012. This facility is being used to support the issue of commercial paper. UBS, part of the 19-bank syndicate, contributed US\$90 million to this facility.

In April 2007, **Textron Financial Corporation** amended its US\$1.75 billion five-year revolving credit facility (secured in April 2006), extending the maturity date to April 2012. This credit facility is being used to repay current debts, to support the issue of commercial paper and for general corporate purposes. UBS, part of a 19-bank syndicate, contributed US\$90 million to this facility.

In July 2007, **Lockheed Martin** renewed its current US\$1.5 billion five-year revolving credit facility and extended it to end June 2012. UBS Bank contributed US\$37.5 million to the 31-bank syndicate.

INVESTMENT BANKING

In February 2007, **Textron Financial**, a wholly-owned subsidiary of Textron, issued US\$300 million sixty-year bonds. UBS underwrote this issue for about US\$60 million.

In November 2007, **Textron Financial**, a wholly-owned subsidiary of Textron, issued US\$400 million in three-year bonds. UBS underwrote this issue for about US\$133 million.

In April 2009, **Textron** issued shares for a total of US\$217.4 million. The proceeds can be used for general corporate purposes. UBS, part of the 10-bank syndicate, underwrote US\$7.7 million.

In April 2009, **Textron** issued US\$540 million in four-year bonds. The proceeds can be used for general corporate purposes. UBS, part of the 10-bank syndicate, underwrote US\$14.625 million.

In November 2009, **Lockheed Martin** issued a total amount of US\$1.5 billion bonds in two tranches. The first tranche was for US\$900 million of ten year bonds and the second tranche was for US\$600 million of thirty year bonds. The proceeds were used for general corporate purposes. UBS contributed an estimated US\$62.5 million to the 12-bank issuing syndicate.

ASSET MANAGEMENT

In April 2009, UBS Hana Asset Management, which is part of UBS, owned or managed 4.95% of the shares in **Poongsan Corp.**, valued at US\$12.4 million.

In May 2009, UBS owned or managed 0.43% of the shares in **Singapore Technologies Engineering**, valued at US\$20 million.

On 31 May 2009, UBS owned or managed 0.21% of the shares of **Singapore Technologies Engineering** valued at US\$10.2 million.

On 24 November 2009, UBS owned or managed 6.53% of the shares of **Poongsan Corporation** valued at US\$36.8 million.

On 31 December 2009, UBS owned or managed 2.21% of the shares of **Textron** valued at US\$112.8 million.

Source: "Worldwide Investments in Clustermunition; a shared responsibility" (April 2010 update), by Netwerkwerk Vlaanderen and IKV Pax Christi.