



# CTBC Financial Holding Co., Ltd. Sustainable Finance Policy Ver 1.0

The English version of the Policy is its Chinese version counterpart and to the best of the knowledge translated in a candid and faithful manner. If, however, there is a discrepancy between the Chinese version and the English translation, the original Chinese version shall govern.

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# CTBC Financial Holding Co., Ltd. Sustainable Finance Policy

## Article 1 Objectives

Integrating the U.N. Sustainable Development Goals (SDGs) into its pursuit of business growth, CTBC Financial Holding Co., Ltd. (hereinafter, the “Company”) promises to abide by international sustainable finance initiatives and conform to relevant policies stipulated by the competent authorities. Through the various core business practices of the CTBC Holding group, the Company endeavors to exert a positive impact on global sustainable development, facilitate financial inclusiveness, and promote a low-carbon economy. The Company hereby formulates the CTBC Holding Sustainable Finance Policy (hereinafter, the “Policy”) to facilitate the execution of its Sustainability Management Policy.

## Article 2 Application scope

This policy applies to the Company and its subsidiaries.

## Article 3 Management structure

- 3-1. The Company’s Corporate Sustainability Office is the responsible unit for this policy.
- 3-2. The Company has established a sustainability management structure, as shown in the chart below. Sustainable finance business strategies and annual objectives are formulated and executed by the Sustainable Finance working group under the ESG Taskforce in collaboration with the management of each subsidiary. Progress toward the objectives is tracked to ensure the effective implementation of the Policy.



#### Article 4 Implementation principles

The Policy shall be implemented according to the following principles:

4-1. Responding proactively and advocating for sustainable finance

The Company shall, in a timely manner, track and understand developments in international sustainable finance initiatives and relevant sustainable policies set forth by the competent authorities. Where feasible according to resources usage and business practices, the Company or relevant subsidiaries shall be the first to respond publicly to such developments.

4-2. Focusing on creating positive SDG-related impacts

In accordance with the SDGs, the Company shall consider its business counterparts' or targets' ESG risks related to their products, services, and operations; determine whether they have controversial practices; and evaluate potential positive/negative impacts in connection with SDGs, in order to effectively facilitate sustainable development.

4-3. Integrating enterprise resources to expand business synergy

Each subsidiary shall stipulate and incorporate the aforementioned ESG/SDG policies and guidance in accordance with its business characteristics. Furthermore, in addition to conducting internal promotion, educational programs, and relevant implementation



processes, each subsidiary shall actively collaborate and utilize their resources, such as sales channels, to maximize business and sustainability synergy across the entire group.

## **Article 5 Information disclosure**

In accordance with applicable international standards, the Company discloses the implementation and planning of the Policy in both Chinese and English in its annual Sustainability Report as well as in the Corporate Sustainability section of its official website. The information is also disclosed in relevant publications of the Company and its subsidiaries, such as the Sustainable Finance Report and the Responsible Investment Report, and disclosed at the request of the competent authorities.

## **Article 6**

This Policy, and any amendments hereto, shall take effect after approval by the Board of Directors.