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Environmental and Social Impact Assessment of the CBG Mine Extension Project

Chapter 0 – Executive Summary

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MASTER TABLE OF CONTENTS

CHAPTER 1 – CONTEXT OF THE ENVIRONMENTAL AND SOCIAL IMPACT ASSESSMENT OF THE EXTENSION PROJECT.....	1-1
CHAPTER 2 – PHYSICAL BASELINE STUDY.....	2-1
CHAPTER 3 – BIOLOGICAL BASELINE STUDY.....	3-1
CHAPTER 4 – BIOLOGICAL IMPACT ASSESSMENT.....	4-1
CHAPTER 5 – SOCIAL BASELINE STUDY.....	5-1
CHAPTER 6 – STAKEHOLDER CONSULTATION.....	6-1
CHAPTER 7 – SOCIAL IMPACT ASSESSMENT.....	7-1
CHAPTER 8 – POTENTIAL IMPACTS ON HUMAN RIGHTS REPORT.....	8-1
CHAPTER 9 – CUMULATIVE IMPACT ASSESSMENT.....	9-1
CHAPTER 10 – ENVIRONMENTAL AND SOCIAL MANAGEMENT PLAN.....	10-1

TABLE OF CONTENTS

EXECUTIVE SUMMARY.....	0-1
 0.1 Introduction	0-1
 0.2 Physical environment studies	0-8
0.2.1 Introduction	0-8
0.2.1.1 <i>Generalities</i>	0-8
0.2.1.2 <i>Criteria</i>	0-8
0.2.1.3 <i>Water and sediments</i>	0-10
0.2.2 Baseline studies for the physical environment	0-10
0.2.2.1 <i>Air quality</i>	0-10
0.2.2.2 <i>Noise and vibration</i>	0-13
0.2.2.3 <i>Water and sediments</i>	0-16
0.2.3 Description of the VECs for the physical environment.....	0-22
0.2.3.1 <i>Air quality</i>	0-22
0.2.3.2 <i>Noise and vibration</i>	0-22
0.2.3.3 <i>Water and sediments</i>	0-23
0.2.3.4 <i>Soils</i>	0-23
0.2.3.5 <i>Summary of the VECs for the physical environment</i>	0-24
0.2.4 Identification and evaluation of the main physical impacts and prevention, improvement and mitigation measures.....	0-25
0.2.4.1 <i>Air quality modeling</i>	0-25
0.2.4.2 <i>Greenhouse gases</i>	0-26
0.2.4.3 <i>Noise and vibration modeling</i>	0-27
0.2.4.4 <i>Zone 1: the mine</i>	0-29
0.2.4.5 <i>Zone 2: the plant and port</i>	0-34
0.2.4.6 <i>Zone 3: the railroad</i>	0-36
0.2.4.7 <i>Prevention, improvement and mitigation measures</i>	0-37
0.2.4.8 <i>Summary presentation of the potential and residual impacts</i>	0-41
 0.3 Biological Studies	0-46
0.3.1 Introduction	0-46
0.3.2 Biology baseline studies	0-46
0.3.2.1 <i>Habitats</i>	0-47
0.3.2.2 <i>Vegetation</i>	0-51

<i>0.3.2.3 Marine mammals, turtles and crocodiles</i>	<i>0-54</i>
<i>0.3.2.4 Marine fisheries.....</i>	<i>0-57</i>
<i>0.3.2.5 Freshwater ecology.....</i>	<i>0-60</i>
<i>0.3.2.6 Mammals</i>	<i>0-62</i>
<i>0.3.2.7 Birds</i>	<i>0-68</i>
<i>0.3.2.8 Reptiles.....</i>	<i>0-73</i>
<i>0.3.2.9 Amphibians</i>	<i>0-77</i>
<i>0.3.2.10 Bushmeat.....</i>	<i>0-78</i>
<i>0.3.2.11 Firewood and charcoal.....</i>	<i>0-79</i>
<i>0.3.3 Description of the VECs for the biological environment</i>	<i>0-80</i>
<i> 0.3.3.1 Introduction</i>	<i>0-80</i>
<i> 0.3.3.2 Important biological species.....</i>	<i>0-80</i>
<i> 0.3.3.3 Important Biological Habitats</i>	<i>0-87</i>
<i> 0.3.3.4 Biological resources</i>	<i>0-95</i>
<i>0.3.4 Identification and evaluation of the main biological impacts and prevention, improvement and mitigation measures.....</i>	<i>0-97</i>
<i> 0.3.4.1 Zone 1: the mine</i>	<i>0-97</i>
<i> 0.3.4.2 Zone 2: the plant and the port</i>	<i>0-100</i>
<i> 0.3.4.3 Zone 3: the railroad.....</i>	<i>0-101</i>
<i> 0.3.4.4 Prevention, improvement and mitigation measures</i>	<i>0-101</i>
<i>0.3.5 Summary presentation of the potential and residual impacts.....</i>	<i>0-104</i>
0.4 Social Studies	0-112
<i>0.4.1 Introduction</i>	<i>0-112</i>
<i> 0.4.1.1 Generalities</i>	<i>0-112</i>
<i> 0.4.1.2 Description of the social VECs</i>	<i>0-112</i>
<i>0.4.2 Social baseline study</i>	<i>0-113</i>
<i> 0.4.2.1 Studies undertaken</i>	<i>0-113</i>
<i> 0.4.2.2 Generalities</i>	<i>0-114</i>
<i>0.4.3 Consultations</i>	<i>0-116</i>
<i> 0.4.3.1 Consultation activities during the ESIA</i>	<i>0-116</i>
<i> 0.4.3.2 Documentation and analysis of the consultation activities</i>	<i>0-119</i>
<i> 0.4.3.3 Synthesis of the consultations</i>	<i>0-119</i>
<i>0.4.4 Identification and evaluation of the main social impacts and prevention, improvement and mitigation measures.....</i>	<i>0-122</i>
<i> 0.4.4.1 Zone 1 :the mine</i>	<i>0-122</i>
<i> 0.4.4.2 Zone 2: the port.....</i>	<i>0-128</i>

0.4.1.3 Zone 3: The railroad	0-130
0.4.1.4 Prevention, improvement and mitigation measures	0-130
0.4.1.5 Summary presentation of the potential and residual impacts ..	0-133
0.4.2 Impacts on human rights	0-141
0.5 Cumulative impacts	0-146
0.6 List of references	0-147

LIST OF FIGURES

Figure 0-1 Structure of the study team	0-2
Figure 0-2 Process of communication and consultation in the ESIA	0-117
Figure 0-3 Sites where consultations were held during the ESIA	0-118
Figure 0-4 Frequency of mention of the VECs during consultation	0-120

LIST OF TABLES

Table 0-1 Responsibilities for the writing of the chapters of the ESIA.....	0-3
Table 0-2 World Health Organization Ambient Air Quality Guidelines ^{1, 2}	0-9
Table 0-3 IFC Noise Level Guidelines	0-9
Table 0-4 VECs and subcomponents for the physical environment.....	0-24
Table 0-5 Greenhouse gas inventory for different stages of the Project.....	0-27
Table 0-6 Percent change in annual predicted COPC concentrations from existing to 22.5 MTPA: Sangarédi mining operations	0-29
Table 0-7 Percent change in annual predicted COPC concentrations from existing to 27.5 MTPA: Sangarédi mining operations	0-29
Table 0-8 Number of villages predicted to exceed IFC criteria for nearest work area	0-31
Table 0-9 Change in future model predicted COPC concentrations in Kamsar compared to existing conditions ($\mu\text{g}/\text{m}^3$)	0-34

Table 0-10 Summary of predicted incremental increases in rail traffic noise	0-36
Table 0-11 Summary of the impacts on the physical environment.....	0-42
Table 0-12 Main vegetation formations found in the Project Study Areas (adapted from White, 1963)	0-47
Table 0-13 Distribution of vegetation sampling points by habitats.....	0-51
Table 0-14 First priority biological species	0-83
Table 0-15 Second priority biological species	0-85
Table 0-16 Summary of the impacts on the biological environment	0-105
Table 0-17 Summary of the impacts on the social environment	0-134
Table 0-18 Summary of the impacts on human rights	0-143

LIST OF MAPS

Map 0-1 Map of project area.....	0-5
Map 0-2 Air quality sampling stations at Kamsar (2014).....	0-11
Map 0-3 Air quality qampling qtations at Sangarédi (2014).....	0-12
Map 0-4 Sound level measurement stations at Kamsar (2014)	0-14
Map 0-5 Sound level measurement stations at Sangarédi (2014).....	0-15
Map 0-6 Surface water and sediment sampling locations at Kamsar (2014).....	0-17
Map 0-7 Water and sediment sampling locations at Sangarédi (2014)	0-18
Map 0-8 Habitats around Sangarédi.....	0-49
Map 0-9 Habitats around Kamsar	0-50
Map 0-10 Tracking of surveys for marine mammals, turtles and crocodiles ¹	0-54
Map 0-11 Major fishing zones of the front line fishing camps/ports around the channel	0-58
Map 0-12 GPS tracks of 59 fishing trips out of 8 front line fishing camps and ports	0-59
Map 0-13 Tracks of boats that caught blackfin guitarfish	0-60
Map 0-14 Map of direct or indirect observations of chimpanzee	0-65
Map 0-15 Critical habitat: the Rio Nuñez Estuary	0-90

Map 0-16 Critical habitat: gallery forests near Sangarédi	0-92
Map 0-17 Critical habitat: the Cogon Corridor	0-94
Map 0-18 Map of villages potentially most impacted in terms of land and housing (27.5 MTPA scenario – 2022)	0-125
Map 0-19 Distribution of cultural heritage sites	0-127

LIST OF PHOTOS

Photo 0-1 Atlantic humpback dolphin with young	0-55
Photo 0-2 West African manatee	0-56
Photo 0-3 West African Nile crocodile	0-57
Photo 0-4 <i>Epiplatys njalaensis</i>	0-62
Photo 0-5 <i>Archiaphyosemion jeanpoli</i>	0-62
Photo 0-6 A camera trap	0-63
Photo 0-7 African clawless otter caught in a camera trap	0-63
Photo 0-8 Chimpanzee	0-64
Photo 0-9 West African red colobus	0-66
Photo 0-10 Hippopotamus	0-67
Photo 0-11 Sooty mangabey	0-67
Photo 0-12 African golden cat	0-68
Photo 0-13 Royal tern, sandwich tern, common tern, bar-tailed godwit and dunlin	0-70
Photo 0-14 Hooded vulture	0-71
Photo 0-15 White-backed vulture	0-72
Photo 0-16 Ruepell's griffon vulture	0-73
Photo 0-17 <i>Hemidactylus albivertebralis</i>	0-74
Photo 0-18 <i>Hemidactylus kundaensis</i>	0-75
Photo 0-19 <i>Cynisca cf. oligopholis</i>	0-76
Photo 0-20 West African dwarf crocodile	0-76
Photo 0-21 <i>Hyperolius lamottei</i>	0-78
Photo 0-22 Examples of stone tools and ceramics found	0-116

ABBREVIATIONS AND ACRONYMS

(Note: text in square brackets [] is a translation of a French term for which there is no official English version)

°C:	Degrees Celsius
AIDS:	Acquired Immune Deficiency Syndrome
AIP:	Annual Investment Plan
AMC:	Alliance Mining Commodities Ltd.
ANAIM:	<i>Agence Nationale d'Aménagement des Infrastructures Minières</i> [national agency for mining infrastructure development]
APA:	<i>Laboratoire Archéologie et Peuplement de l'Afrique</i> [archeology and peopling of Africa laboratory]
APAÉ:	<i>Association des parents et amis d'élèves</i> [parents and friends of students]
ARV:	Antiretroviral
BAP:	Biodiversity Action Plan
BEPC:	<i>Brevet d'Études du Premier cycle du second degree</i> [school certificate]
BGÉÉE:	<i>Bureau Guinéen d'Études et d'Évaluation Environnementale</i> [Guinean bureau of environmental studies and evaluation]
BM:	<i>Banque Mondiale</i> / World Bank (WB)
BPII:	<i>Bonnes pratiques industrielles internationales</i> / Industrial International Best Practices
C/P:	Frontline fishing camps and ports
CA:	<i>Chiffre d'affaires</i> [Revenues]

- CBG:** *Compagnie des Bauxites de Guinée*
- CCME:** Canadian Council of Ministers of the Environment
- CCNUCC:** *Convention-cadre des Nations Unies sur le changement climatique / World Bank United Nations Framework Convention on Climate Change (UNFCCC)*
- CDD:** *Contrat de durée déterminée* [Contract of defined length]
- CDI:** *Contrat de durée indéterminée* [Contract of indefinite length]
- CECI:** *Centre d'études et de coopération internationale / Centre for international Studies and Cooperation*
- CECIDE:** Centre du Commerce International pour le Développement / Our World is Not for Sale (OWINFS)
- CEDEAO:** *Communauté économique des États de l'Afrique de l'Ouest / United Nations Economic Commission for Africa (UNECA)*
- CFB:** *Chemin de Fer de Boké* [Boké railroad]
- CITES:** Convention on International Trade of Endangered Species
- CMG:** *Chambre des Mines de Guinée* [Guinean chamber of mines]
- COD:** Chemical Oxygen Demand
- COPC:** Contaminant of Potential Concern
- CoPSAM:** *Comité Préfectoral de Suivi des Activités des Miniers* [Prefectorial mining activity monitoring committee]
- CPC:** *Contaminant potentiellement préoccupant / Contaminant of Potential Concern (COPC)*
- CPD:** *Comité Préfectoral de Développement* [Prefectorial development committee]
- CPÉ:** *Consultation et participation éclairées / Free Prior and Informed Consent (FPIC)*

CR:	<i>Commune rurale</i> [rural commune]
CRD:	<i>Commune rurale de développement</i> [rural development commune]
CSA:	<i>Centre de santé amélioré</i> [improved health centre]
CSO:	Civil Society Organizations
CSR:	Corporate Social Responsibility
CU:	<i>Commune urbaine</i> [urban commune]
CVÉ:	<i>Composante valorisée de l'écosystème / Valued Ecosystem Component (VEC)</i>
dB:	Decibel
dBA:	A-weighted decibel
dBZ:	Decibel relative to Z
DPUHC:	<i>Direction préfectorale de l'urbanisme de l'habitat et de la construction</i> [Prefectorial directorate for housing and construction]
DUDH:	<i>Déclaration universelle des droits de l'homme / Universal Declaration of Human Rights (UDHR)</i>
EDG:	Électricité de Guinée
EIA:	Environmental Impact Assessment
ÉIE:	<i>Étude d'impact environnemental / Environmental Impact Assessment</i>
ÉIS:	<i>Étude d'impact social / Social Impact Assessment</i>
EITI:	Extractive Industries Transparency Initiative
EPA:	Environmental Protection Agency (United States)
EPI:	Extended Program on Immunization
EPT:	Ephemeroptera, Plecoptera and Trichoptera (types of aquatic insects)

ESCOMB: *Enquête de surveillance comportementale et biologique sur le VIH/SIDA* [monitoring survey on HIV and AIDS]

ESIA: Environmental and Social Impact Assessment

ESMP: Environmental and Social Management Plan

ETAE: *Eaux tropicales de l'Atlantique Est* [tropical waters of the Eastern Atlantic]

FEL 1: Front-end Loading – Preliminary Economic Assessment

FEL 2: Front-end Loading – Prefeasibility Study

FEL 3: Front-end Loading– Detailed Engineering Study

FPIC: Free Prior and Informed Consent

GAC: Guinea Alumina Corporation

GdG: *Gouvernement de la Guinée / Government of Guinea (GoG)*

GDP: Gross Domestic Product

GES: *Gaz à effet de serre / Greenhouse gas (GHG)*

GHG: Greenhouse gas

GIEC: *Groupe d'experts intergouvernemental sur l'évolution du climat / Intergovernmental Panel on Climate Change (IPCC)*

GIS: Geographic Information System

GNF: Guinean Franc

GoG: Government of Guinea

GPS: Global Positioning System

GRI: Global Reporting Initiative

GTP: Ground truth point methodology

Ha: Hectare

HAP:	Hydrocarbure aromatique polycyclique / Polycyclic Aromatic Hydrocarbon (PAH)
HFO:	Heavy fuel oil
HP:	Horsepower
HSE:	Health Safety and Environment
IBA:	Important Bird Area
ICCPR:	International Covenant on Civil and Political Rights
ICESCR:	International Covenant on Economic, Social and Cultural Rights
ICMM:	International Council on Mining and Metals / <i>Conseil International des Mines et des Métaux</i>
IFC:	International Finance Corporation
IFI:	International Finance Institutions / <i>Institutions financières internationales</i>
ILO:	International Labour Organization
IPCC:	Intergovernmental Panel on Climate Change
ISQG:	CCME Interim Sediment Quality Guideline
IST:	<i>Infections sexuellement transmissibles</i> / Sexually transmitted infections (STI)
ITIE:	<i>Initiative pour la Transparence des Industries Extractives</i> / Extractive Industries Transparency Initiative (EITI)
IUCN:	International Union for Conservation of Nature / <i>Union internationale pour la conservation de la nature (UICN)</i>
km:	Kilometer
km²:	Square kilometer
LA_{eq}:	Equivalent Sound Level (dBA)

LDIQS: CCME Interim Sediment Quality Guideline

L_{eq}: Equivalent Sound Level (dB)

m: Meter

m²: Square meter

m³: Cubic meter

m³/h: Cubic meters per hour

MDDEP: *Ministère de développement durable, de l'environnement et des parcs du Québec, maintenant connu sous le nom Ministère du développement durable, de l'environnement et de la lutte contre les changements climatiques / Quebec Ministry of sustainable development, environment and parks, now known as the Ministry of sustainable development, environment and the fight against climate change*

MDT: *Matières dissoutes totales / Total dissolved solids (TDS)*

ml: Milliliter

mm: Millimeter

MME: *Ministère des Mines et de l'Énergie / Ministry of Mines and Energy*

MTPA: Million tonnes per annum (year)

MW: Megawatt

N/A: Not applicable

NEP: *Niveau d'effet probable du CCME / CCME Probable Effects Level (PEL)*

NGO: Non-Governmental Organization

NP: *Norme de performance de la SFI / Performance Standard of the IFC (PS)*

NSP: *Ne s'applique pas / Not Applicable (N/A)*

OAU: Organization of African Unity

- OCDE:** *Organisation de Coopération et de Développement Économique / Organization for Economic Co-Operation and Development (OECD)*
- OECD:** Organization for Economic Co-Operation and Development
- OIT:** Organisation internationale du Travail / International Labour Organization (ILO)
- OMS:** *Organisation mondiale de la Santé / World Health Organization*
- ONG:** *Organisme non-gouvernemental / Non-Governmental Organization*
- ONU:** *Organisation des Nations-Unies / United Nations*
- OSC:** *Organisations de la société civile / Civil Society Organizations*
- OUA:** *Organisation de l’unité africaine / Organization of African Unity*
- OWINFS:** Our World is Not for Sale (OWINFS)
- PACV:** *Programme d’appui aux organisations villageoises [village support program]*
- PAI:** *Plan annuel d’investissement / Annual Investment Plan*
- PARC:** *Plan d’action de réinstallation et de compensation / Resettlement and Compensation Action Plan (RAP)*
- PCB:** *Plan de conservation de la biodiversité / Biodiversity Action Plan (BAP)*
- PCS:** *Partenaires contre le SIDA [Aids prevention group]*
- PDL:** *Plan de développement local [local development plan]*
- PEL:** CCME Probable Effects Level
- PEPP:** *Plan d’engagement des parties prenantes / Stakeholder Engagement Plan (SEP)*
- PÉV:** *Programme élargi de vaccination / Extended Programme on Immunization (EPI)*

- PGES:** *Plan de gestion environnementale et sociale / Environmental and Social Management Plan (ESMP)*
- PIB:** *Produit intérieur brut / Gross Domestic Product (GDP)*
- PIDCP:** *Pacte international relatif aux droits civiles et politiques / International Covenant on Civil and Political Rights (ICCPR)*
- PIDESC:** *Pacte international relatif aux droits économiques, sociaux et culturels / International Covenant on Economic, Social and Cultural Rights (ICESCR)*
- PK:** Point kilométrique [kilometer point]
- PM₁₀:** Particulate matter in air up to 10 micrometers in size
- PM_{2.5}:** Particulate matter in air up to 2.5 micrometers in size
- PMH:** *Pompe à motricité humaine [manually- ppered pump]*
- PNUD:** *Programme des Nations-Unies pour le Développement / United Nations Development Program (UNDP)*
- PP:** *Parties prenantes / Stakeholders*
- PPV:** Peak particle velocity
- PRCB:** *Projet de renforcement des capacités de Boké [Boké rural community development project]*
- PS:** Performance Standard of the IFC
- QSE:** Quality Safety and Environment
- RAP:** Resettlement and Compensation Action Plan
- RAP:** Rapid Assessment Program / Rapid Biological Assessment
- RSE:** *Responsabilité sociale des entreprises / Corporate Social Responsibility (CSR)*
- RTA:** Rio Tinto Alcan

SAG:	<i>Société Aurifère de Guinée</i> [Guinea gold corporation]
SDT:	<i>Solides dissous totaux</i> / Total Dissolved Solids (TDS)
SEG:	<i>Société des Eaux de Guinée</i> [Guinea water corporation]
SEP:	Stakeholder Engagement Plan
SFI:	<i>Société Financière Internationale</i> / International Finance Corporation (IFC)
SIA:	Social Impact Assessment
SIDA:	<i>Syndrome d'immunodéficience acquise</i> / Acquired Immune Deficiency Syndrome (AIDS)
SIG:	<i>Système d'information géographique</i> / Geographic Information System (GIS)
SNAPE:	Service national des points d'eau [national water supply points service]
SO_x:	Sulphur oxides
SP:	<i>Sous-préfecture</i> [subprefecture]
SSC:	Species Survival Commission
SSE:	<i>Santé, sécurité, environnement</i> / Health Safety and Environment (HSE)
SST:	<i>Solides en suspension totaux</i> / Total Suspended Soilds (TSS)
STI:	Sexually transmitted infections
TDR:	<i>Termes de référence</i> / Terms of Reference (TOR)
TDS:	Total dissolved solids
TOR:	Terms of Reference (TOR)
TPE:	<i>Très petite entreprise</i> / Very small business
TPH:	Tonnes per hour
TSP:	Total Suspended Particulates

TSS:	Total suspended solids
UDHR:	Universal Declaration of Human Rights
IUCN:	<i>Union internationale pour la conservation de la nature / International Union for Conservation of Nature (IUCN)</i>
UN:	United Nations
UNDP:	United Nations Development Program
UNECA:	United Nations Economic Commission for Africa
UNESCO:	United Nations Educational Scientific and Cultural Organization / <i>Organisation des Nations unies pour l'éducation, la science et la culture</i>
UNFCC:	World Bank United Nations Framework Convention on Climate Change
UniGE:	<i>Université de Genève / University of Geneva</i>
UTM:	Universal Transverse Mercator
VEC:	Valued Ecosystem Component
VIH:	<i>Virus de l'immunodéficience humaine / Human Immunodeficiency Virus (HIV)</i>
WB:	World Bank / <i>Banque Mondiale (BM)</i>
WHO:	World Health Organization / <i>Organisation mondiale de la Santé (OMS)</i>
ZÉE:	<i>Zone économique exclusive de la Guinée [Guinea economic exclusive zone]</i>
ZICO:	<i>Zone importante pour la conservation des oiseaux / Important Bird Area (IBA)</i>

EXECUTIVE SUMMARY

0.1 Introduction

The *Compagnie des Bauxites de Guinée* (CBG) is a mining company belonging jointly to the Government of Guinea (GoG) and Halco Mining (Alcoa, Rio Tinto Alcan and Dadco). Currently the CBG mines, transports by railroad, treats and ships approximately 13.5 million tons per annum (MTPA) of bauxite at 3 % humidity in its facilities (nominal capacity of the treatment plant), situated at Kamsar and Sangarédi in Northwest Guinea. The CBG has been in existence since 1963, and its installations have been in operation since 1973. The company exploits three sites:

- The Sangarédi mining area (plateaus of N'Dangara, Sangarédi, Boundou Wandé, Bidikoum, Parawi and Silidara);
- The railroad network; and
- The treatment plant at Kamsar (including the port).

The CBG is currently considering increasing its bauxite production by 9 MTPA of shipped material to a production capacity of 22.5 MTPA (at 3 % humidity) by the last trimester of 2017 with another increase of 5 MTPA, to a production capacity of 27.5 MTPA around 2022. An intermediate step is planned at 18.5 MTPA. The CBG Extension Project (the Project) includes an increase in the rate of bauxite extraction, transport and treatment and includes construction and modifications to the infrastructures, equipment and operations of the CBG.

In 2013, the CBG mandated ÉEM to conduct an Environmental and Social Impact Assessment (ESIA) of the Extension Project. ÉEM is an environmental and sustainable development consulting company based in Montréal, Québec, Canada. The three main spheres of competence of ÉEM are environmental management, community relations and sustainable development strategies.

In order to conduct the Extension Project ESIA and to participate as a consultant to the feasibility study, ÉEM has assembled a group of experts with expertise in environmental and social aspects, who have an appropriate knowledge of the geographical area and the Guinean government structure and the Guinean

environmental legal framework, to form an optimal team for carrying out the studies.

The members of the team, with their discipline of expertise, are described in the figure below:

Figure 0-1 Structure of the study team



Table 0-1 identifies the firms responsible for the write-up of the various chapters of the ESIA.

Table 0-1 Responsibilities for the writing of the chapters of the ESIA

Section of the report	Author(s)
1. Context of the ESIA	ÉEM / CBG
2. Physical environment	ÉEM / CBG / SENES Consultants
3. Biology baseline	ÉEM / SYLVATROP Consulting
4. Evaluation of biological impacts	ÉEM
5. Social baseline	INSUCO
6. Stakeholder consultations	ÉEM / INSUCO
7. Evaluation of social impacts	ÉEM / INSUCO
8. Human rights	INSUCO
9. Evaluation of cumulative impacts	ÉEM
10. Environmental and Social Management Plan (ESMP)	CBG
Evaluation of human health risks	CBG

The principal persons responsible were:

For the CBG:

Stéphane Dallaire – Of the *Service hygiène, sécurité, environnement, Relations communautaires du Projet d'extension de la CBG*, principal contact of the ÉEM study team with the CBG.

For ÉEM:

Paul MacLean – President of ÉEM and director of the ESIA;

Robert Cole – Leader for the social study; and

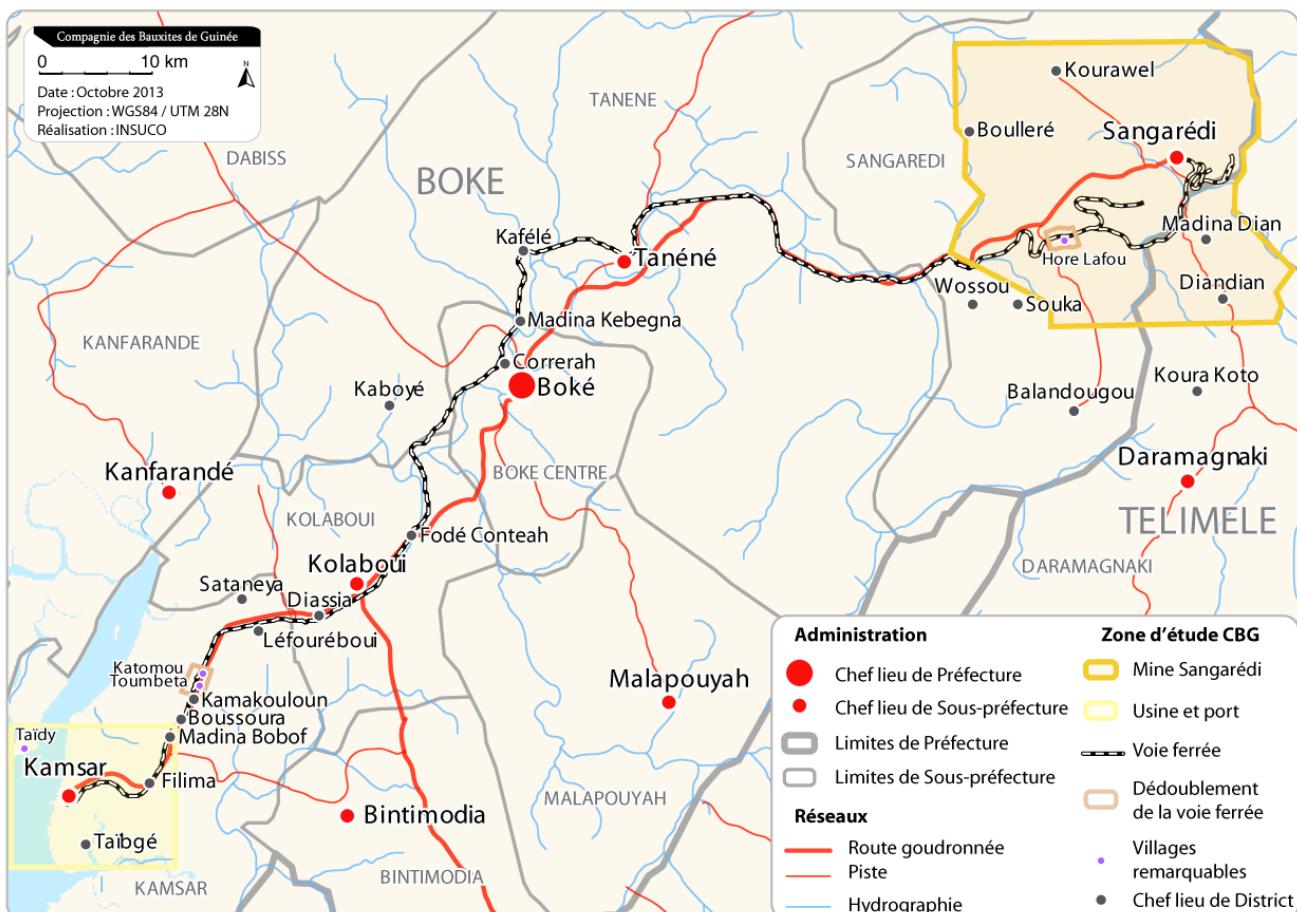
Eric Muller – Leader for the environmental study.

The Study Area for this work included the three areas of the Project (Map 0-1):

- Zone 1: a perimeter around the zones to be mined;
- Zone 2: a zone around the facilities at Kamsar plus a marine zone at the mouth of the Rio Nuñez; and
- Zone 3: a 2 km wide corridor, 1 km on either side of the railroad line.

This study was conducted according to legal and regulatory requirements at the national level as well as the performance standards of the International Finance Corporation (IFC). The terms of reference were approved by the Guinean *Ministère de l'Environnement, des Eaux et Forêts* on November 8 2013 and the final scoping report submitted to the *Bureau Guinéen d'Études et d'Évaluation Environnementale* (BGÉÉ) on December 5 2013.

Map 0-1 Map of project area



The identification of valued ecosystem components (VEC) is a key aspect of the study. VEC are typically defined as: "... every part of the environment judged important by the proponent, the public, scientists and governments participating in the evaluation process."

The initial evaluation of the relative importance of the environmental and social impacts of the Project is based on an analysis using four criteria:

- The value of the component or subcomponent;
- The degree of perturbation;
- The spatial extent; and
- The duration.

A uniform approach has been used for the physical, biological and social analyses so as to provide continuity in the evaluation. Differences in the interpretation of the various criteria applied to the various components are specified and justified in the methodological discussions pertaining to each impact. Impacts are evaluated for two phases of the Project (construction and operation) and for three distinct areas: the plant and port at Kamsar; the railroad linking the mine and the plant; and the mine itself.

Each main study (physical, biological and social environments) had as goals:

- To assemble and evaluate the existing prior data;
- To document the methodology used in the field studies undertaken for the ESIA;
- To present the results of the field studies undertaken for the ESIA;
- To summarize knowledge of the baseline;
- To analyze the impacts of the Project;
- To propose mitigation, control and monitoring measures; and
- To present the residual impacts.

The study identifies potential impacts of the increased production on the environment (physical and biological) and the communities of the Project area. It also supplies an Environmental and Social Management Plan (ESMP), to ensure that impacts will be moderated, adequately managed and that the operations of the CBG conform to the applicable national and international standards and regulations in terms of environmental and social management for mining projects. The acceptability of the Project on the environmental and social levels depends on the application and continuation of all of the undertakings and plans contained in the impact studies on the physical environment (Chapter 2), the biological environment (Chapter 4), the social environment (Chapters 7 and 8) and the ESMP (Chapter 10).

The study also includes the identification and analysis of cumulative impacts. This was conducted only at a general level because of the lack of detailed information on other projects in the area.

The following sections summarize the whole ESIA that is contained in ten chapters and numerous annexes:

- Volume 1
 - Chapter 0 – Executive Summary
- Volume 2
 - Chapter 1 - Context of the Environmental and Social Impact Assessment of the Extension Project
 - Chapter 2 – Physical Baseline Study
- Volume 3
 - Chapter 3 – Biological Baseline Study
 - Chapter 4 – Biological Impact Assessment
- Volume 4
 - Chapter 5 – Social Baseline Study
 - Chapter 6 – Stakeholder Consultation
 - Chapter 7 – Social Impact Assessment
- Volume 5
 - Chapter 8 – Potential Impacts on Human Rights Report
 - Chapter 9 – Cumulative Impact Assessment
- Volume 6
 - Chapter 10 – Environmental and Social Management Plan
 - Evaluation of Human Health Risks
- Volume A – Annexes 1-1 to 2-2
- Volume B – Annexes 2-3 to 2-10
- Volume C – Annexes 3-1 to 3-12
- Volume D – Annexes 4-1 to 4-4
- Volume E – Annexes 5-1 to 5-3
- Volume F – Annexes 6-1 to 8-2

0.2 Physical environment studies

0.2.1 Introduction

0.2.1.1 *Generalities*

The physical environment study concentrates on the following major topics:

- Climate;
- Air quality;
- Noise;
- Vibration;
- Surface and groundwater quality;
- Sediments;
- Landscape;
- Geology;
- Soils; and
- Seismology.

0.2.1.2 *Criteria*

For some aspects of the physical impact assessment there are accepted numerical criteria that help determine the importance of impacts.

Air quality

In the absence of currently applied national legislation, the Environmental, Health, and Safety (EHS) Guidelines – General EHS Guidelines: Environmental of the IFC (2007a) state that for air quality the WHO guidelines (Table 0-2) or other international sources apply.

Table 0-2 World Health Organization Ambient Air Quality Guidelines^{1, 2}

Contaminant	Averaging period	Guidance value ($\mu\text{g}/\text{m}^3$)			
		Interim Target 1	Interim Target 2	Interim Target 3	Guideline
SO₂	24 hours	125	50		20
	10 minutes				500
NO₂	1 year				40
	1 hour				200
PM₁₀	1 year	70	50	30	20
	24 hours	150	100	75	50
PM_{2.5}	1 year	35	25	15	10
	24 hours	75	50	37,5	25

¹ World Health Organization (WHO). Air Quality Guidelines Global Update, 2005. PM 24-hour value is the 99th percentile

² Interim targets are provided in recognition of the need for a staged approach to achieving the recommended guidelines

In the absence of applicable national standards, Interim Target 1 of the WHO for particulates and SO₂ are applied and referenced.

Noise and Vibration

As Guinea does not have a community noise criterion, the approach for noise evaluation in the Environmental, Health, and Safety (EHS) Guidelines – General EHS Guidelines: Environmental – Noise Management (IFC, 2007b) was used.

Table 0-3 IFC Noise Level Guidelines

Receptor	One Hour LA _{eq} (dBA)	
	Daytime (07:00-22:00)	Night-time (22:00-07:00)
Residential, institutional, educational	55	45
Industrial, commercial	70	70

0.2.1.3 Water and sediments

Following the recommendation of the IFC, where the GoG has no specific guidance, public criteria published by international agencies may be adopted. In this case, the criteria for water and sediment quality published by the WHO and the US EPA and guidelines from other countries such as Canada and the EU have been used as primary sources for quantitative criteria.

0.2.2 Baseline studies for the physical environment

The following field studies were carried out in 2014 and are described in details in the appropriate sections of Chapter 2 of the ESIA:

- Air quality (meteorology, gas, particulates, heavy metals);
- Ambient noise;
- Surface and groundwater quality;
- Sediment quality; and
- Soil quality.

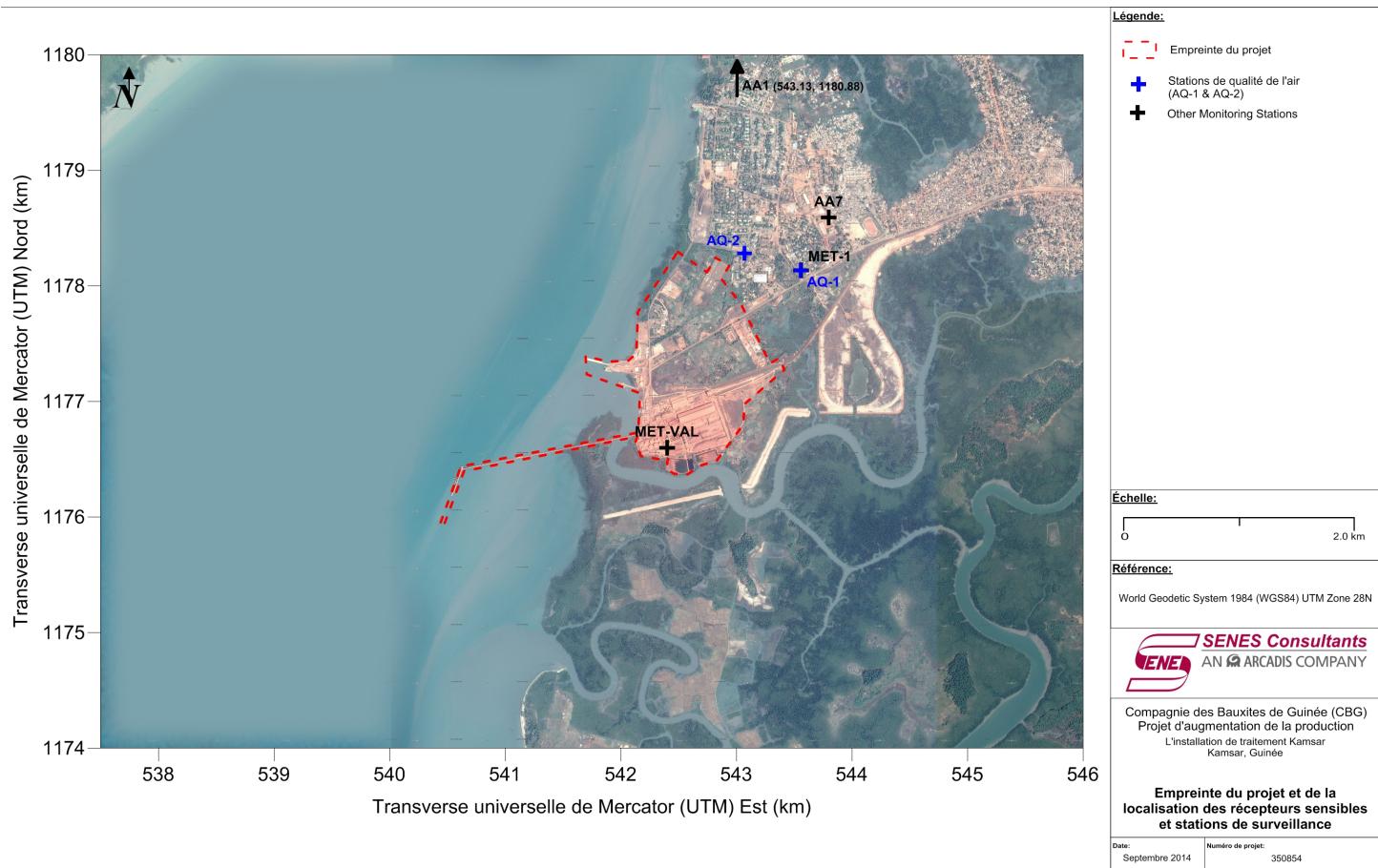
The following subsections summarize the most important aspects. In addition to these specific field studies, data from the AECOM (2011) study and other sources were also considered.

0.2.2.1 Air quality

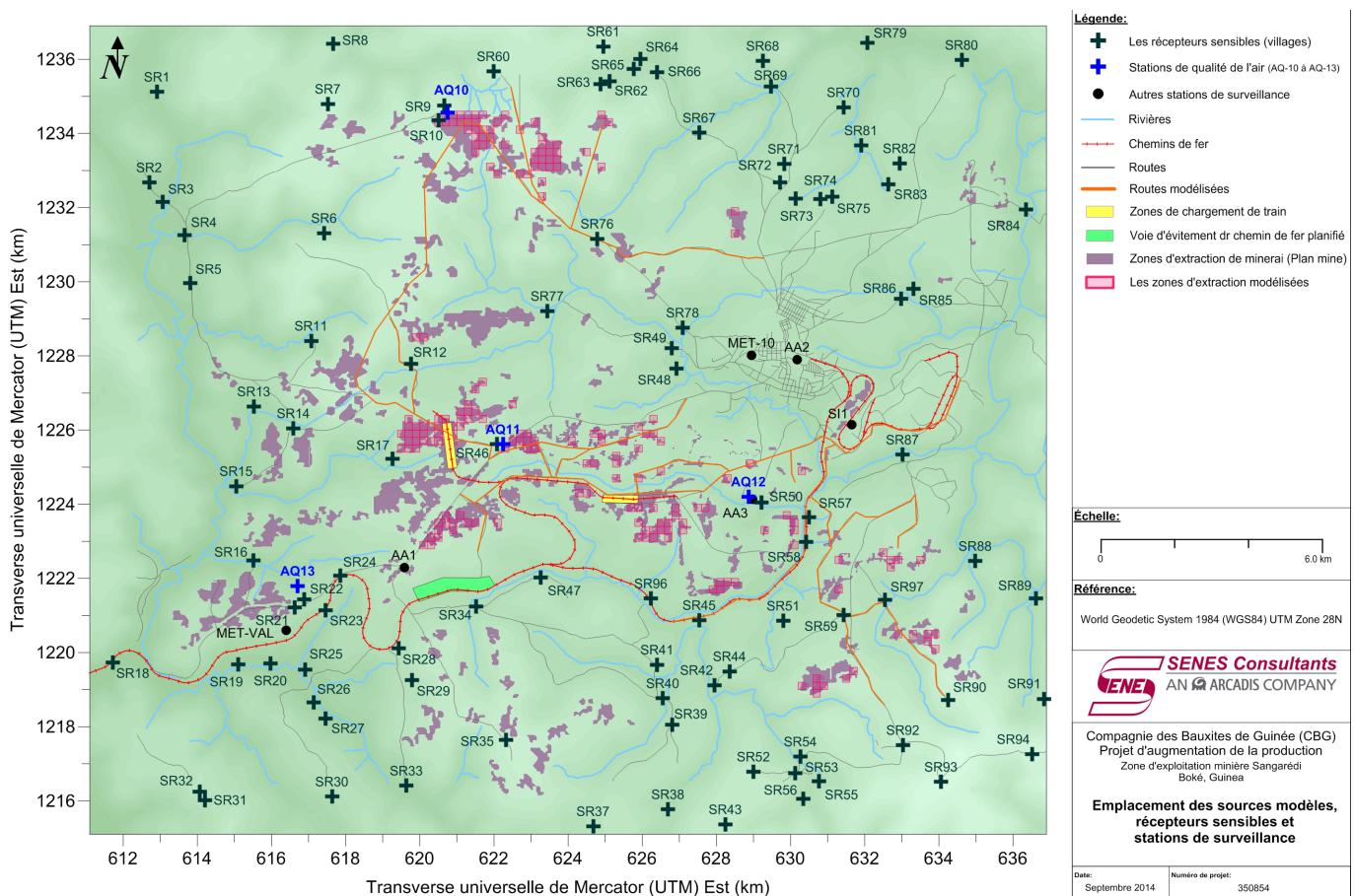
Baseline program

A baseline monitoring program for ambient air quality was undertaken on the site from February to May 2014 (Maps 0-2 and 0-3). Monitoring followed the recommendations in Environmental, Health, and Safety (EHS) Guidelines – General EHS Guidelines: Environmental (IFC, 2007a). CBG personnel using US EPA methods and procedures carried out the gathering of the field data. Maxxam Analytics, a Canadian accredited laboratory, did the analysis of the samples. The results of the ambient air quality measurements were also compared to the data from AECOM (2011) study.

Map 0-2 Air quality sampling stations at Kamsar (2014)



Map 0-3 Air quality sampling stations at Sangarédi (2014)



Ambient air quality at Kamsar

The results of the 2014 sampling survey for ambient air quality in the spring of 2014 and the 2011 results indicate that the atmospheric basin is already burdened with fine particulates.

Ambient NO₂ and SO₂ measurements at a station close to the plant are approximately 10% of applicable WHO guidelines

Ambient air quality at Sangarédi

Similar to Kamsar, the results of the ambient air quality monitoring campaign indicate that the Sangarédi airshed is already burdened with fine particulates. The

maximum measurements from three stations during the 2014 sampling exceeded the WHO Interim Target 1 for both PM₁₀ and PM_{2.5}. During the 2014 sampling campaign, CBG staff noted that all four ambient air quality monitoring stations were influenced to some degree by local sources of dust that are unrelated to CBG activities (e.g., brush fires and from charcoal cooking fires). At one station, which is not currently affected by mining activities, the average and maximum daily concentrations of PM₁₀ and PM_{2.5} were approximately 30 to 100% above the WHO Interim Target 1. By contrast, PM₁₀ and PM_{2.5} concentrations at another station are 30 to 40% below WHO guidelines, showing the least influence from local sources of dust and CBG activities.

Similar to Kamsar, ambient NO₂ and SO₂ measurements were often less than 10% of applicable WHO guidelines.

0.2.2.2 Noise and vibration

Baseline program

An extensive noise measurement program characterized the ambient sound environments close to the plant in Kamsar and in the area delimited by the future mining operations in the Sangarédi region. Recent aerial photographs and GIS data were used to identify sensitive receptors close to the plant site and future mining areas in the Sangarédi area. On the basis of this, a total of five points were selected around the Kamsar site and fifteen in the mining area (see Maps 0-4 and 0-5 and the larger maps in Annexe 2-2).